

# SOLVING QBFS WITH ALPHAZERO

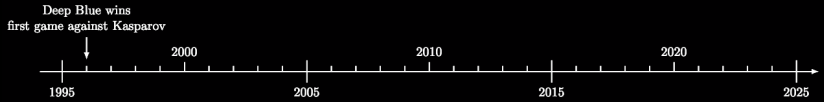


Clemens Hofstadler, supervised by Univ.-Prof. Dr. Martina Seidl  
Institute for Algebra, JKU Linz  
Seminar Algebra and Discrete Mathematics, 10 June 2021

# THE ALPHAZERO FRAMEWORK



# History



# History



Deep Blue wins  
first game against Kasparov



# History

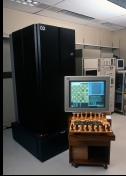


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Deep Blue wins  
six-game match against Kasparov

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Deep Blue wins  
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2000

2010

2020

1995

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2015

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Go programs beat  
professionals with handicap



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AlphaGo wins against  
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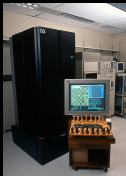
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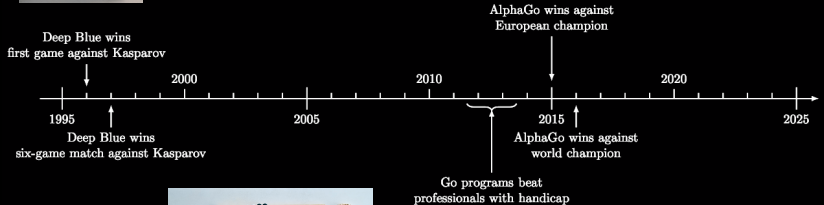
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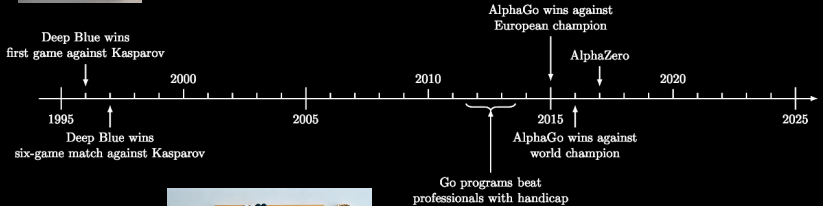
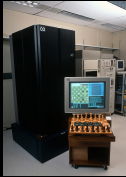
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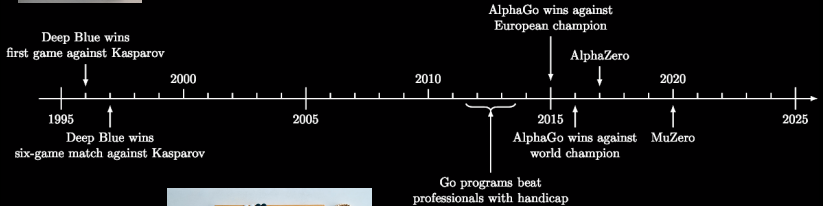
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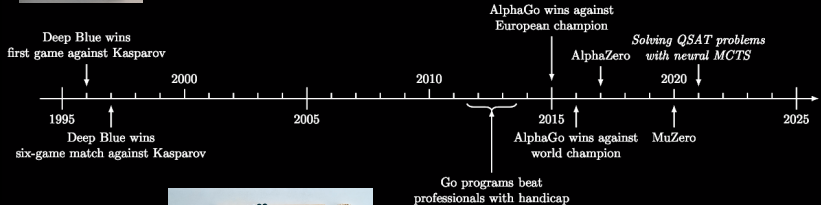
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## AlphaZero

- AI framework to learn two-player, fully-observable, symmetric games
- AlphaZero learns (almost) tabula-rasa

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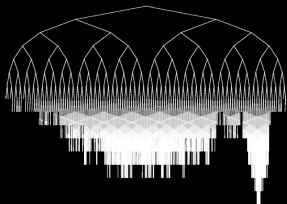
Monte Carlo tree search + Self-play reinforcement learning

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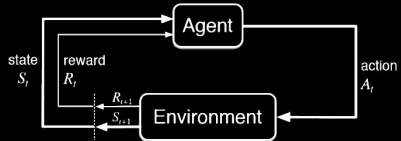
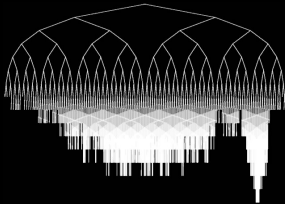


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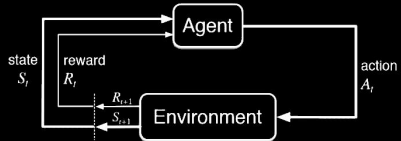
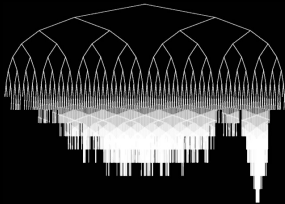


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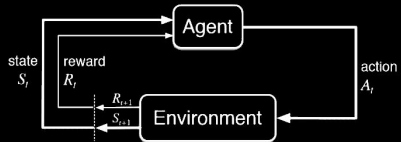
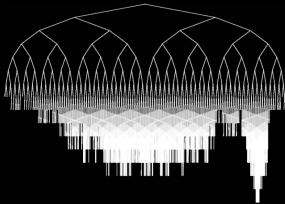


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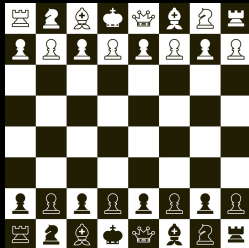
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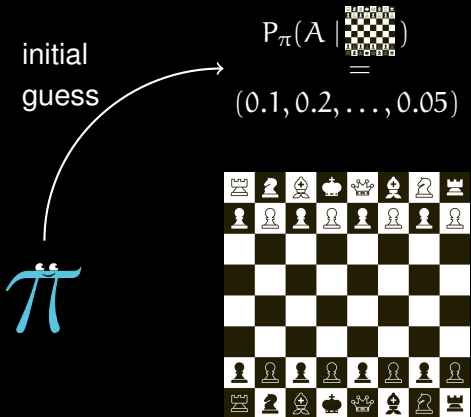
VS.



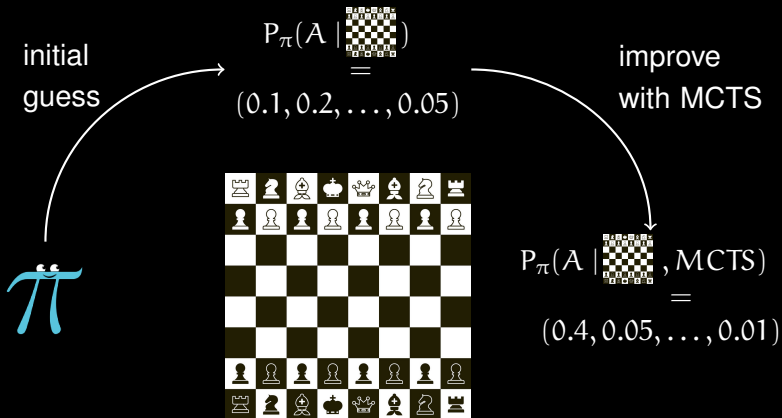
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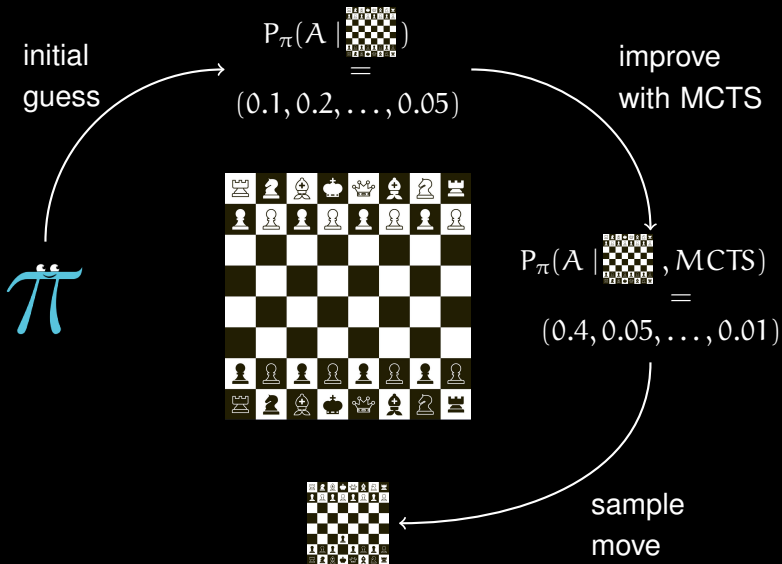
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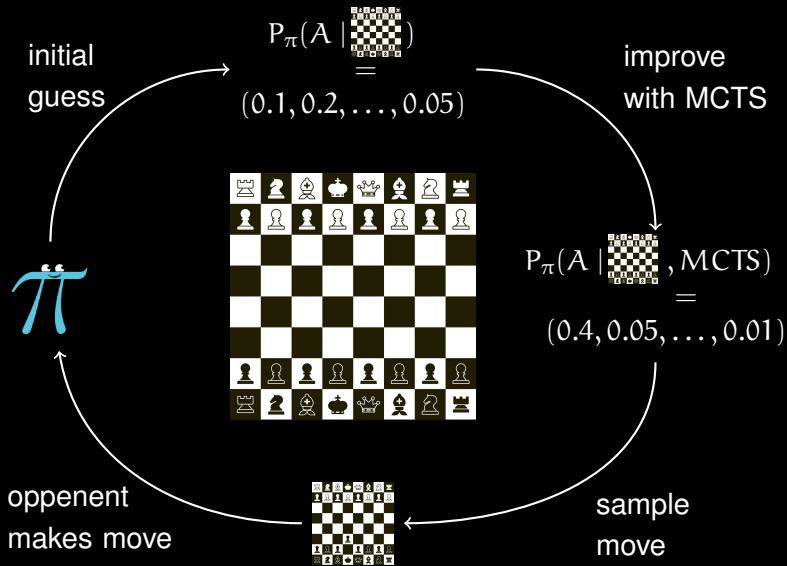
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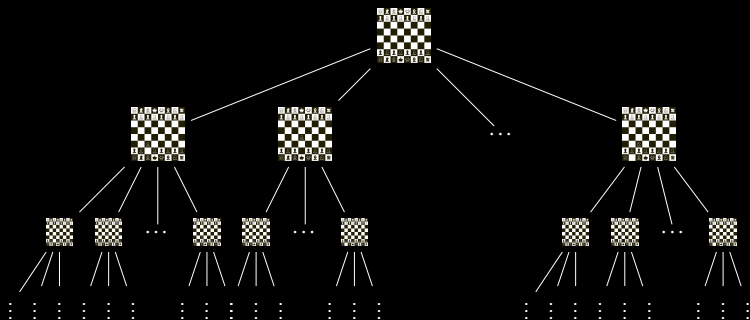


# MCTS

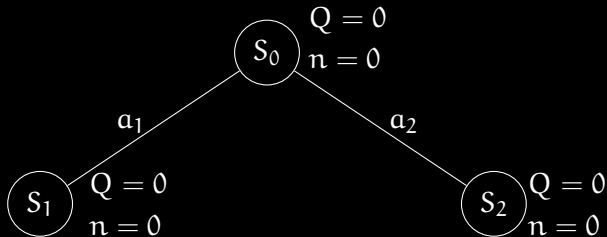
**Given:** Two-player, fully-observable game with game tree

**Task:** Find promising action in current state

**Example:**

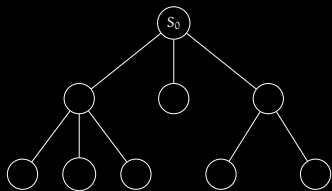
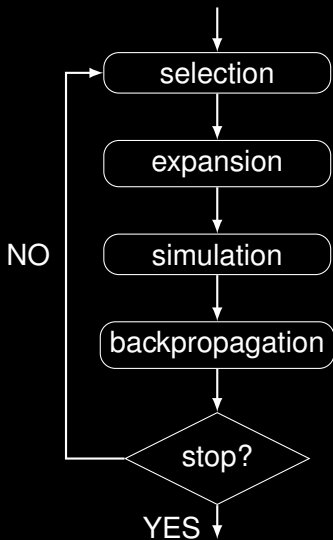


## MCTS

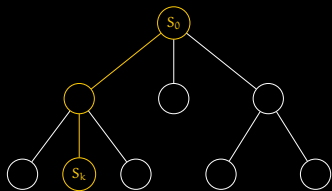
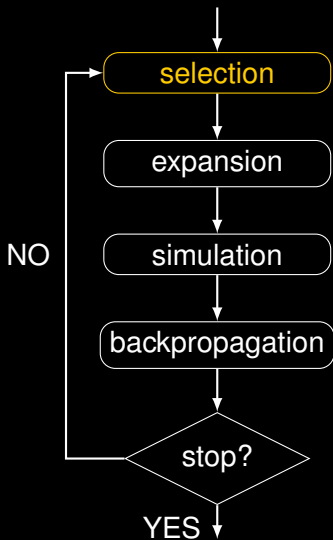


- States:  $S_0, S_1, S_2$
- Actions:  $a_1, a_2$
- Attributes of nodes
  - $Q$ : current value of the node
  - $n$ : number of visits

# MCTS



# MCTS



Traverse tree following  
upper confidence bound

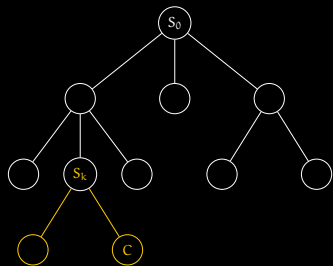
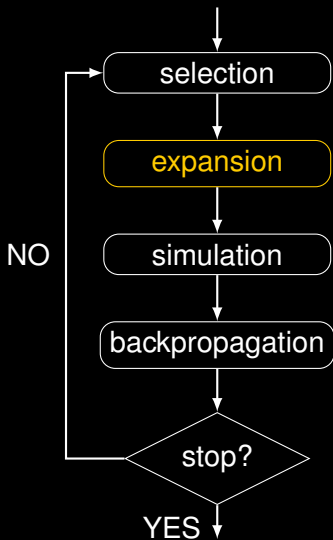
$$UCB(S) = \frac{Q}{n} + \gamma \sqrt{\frac{\ln N}{n}}$$

$$UCB(S) = \infty, \quad \text{if } n = 0.$$

$\gamma$  ... hyperparameter

$N$  ... # visits of parent node

# MCTS

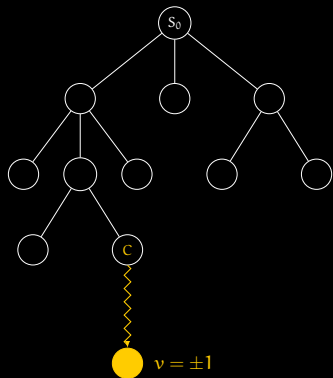
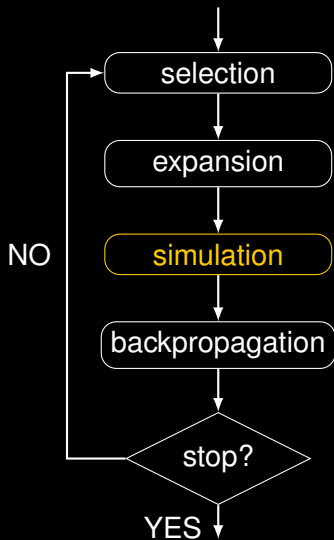


**if** not visited  $S_k$  and  $k \neq 0$   
simulate  $S_k$

**else**

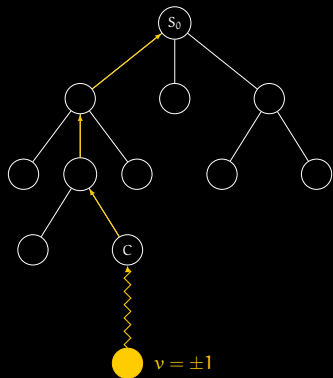
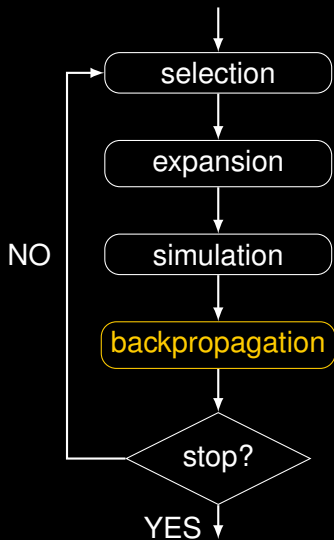
expand  $S_k$   
simulate child  $C$

# MCTS



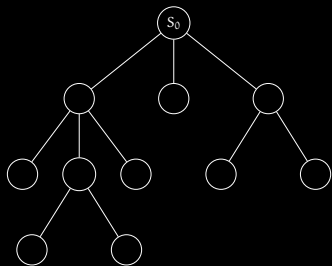
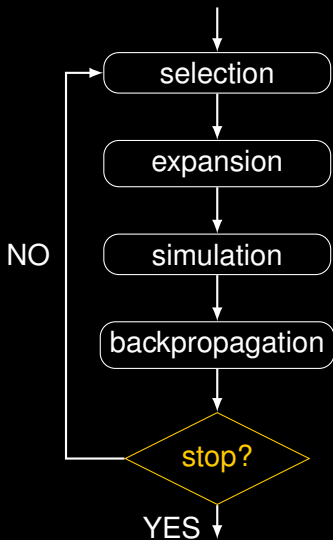
Play random game.

# MCTS



Update Q values for nodes on path from  $C$  to  $S_0$  using  $v$ .

# MCTS



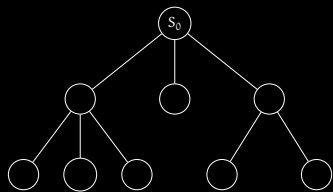
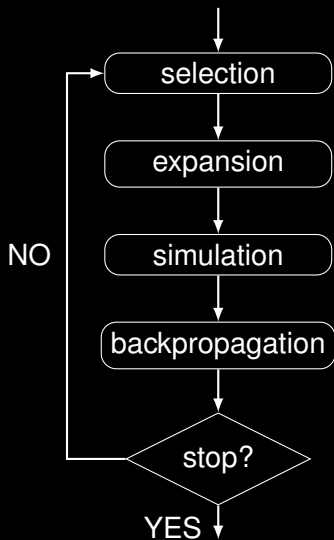
Termination criteria:

- Timeout
- Max. number of iterations exceeded

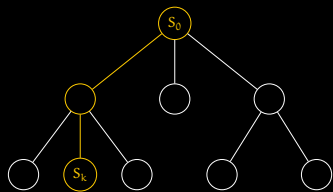
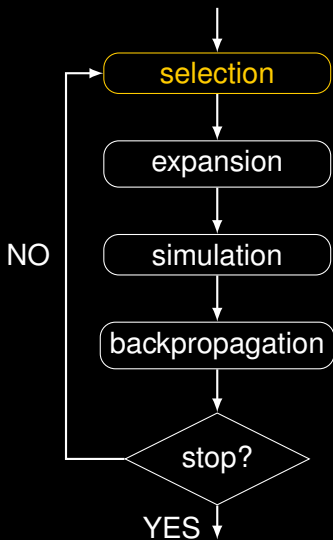
Return **relative frequencies**



## MCTS in AlphaZero



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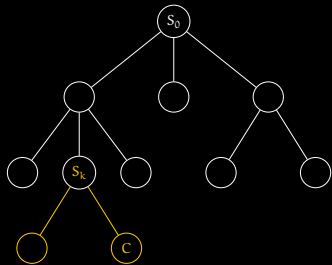
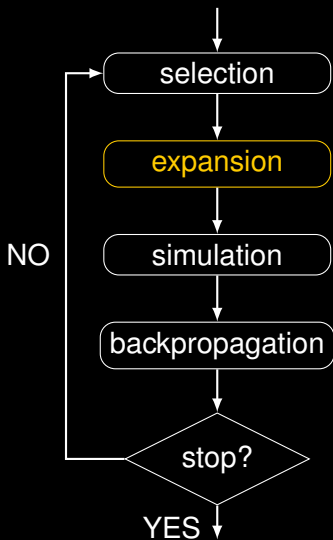
Traverse tree following  
**Predictor + UCB**

$$PUCB(S) = \frac{Q}{n+1} + \gamma \frac{P_{\pi}(\alpha | P(S))\sqrt{N}}{n+1}$$

$P(S)$  ... Parent of  $S$

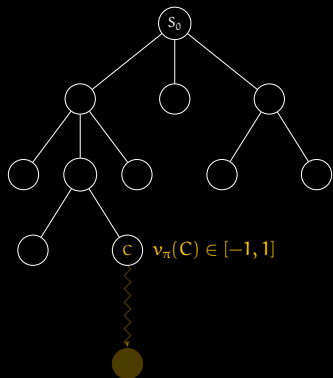
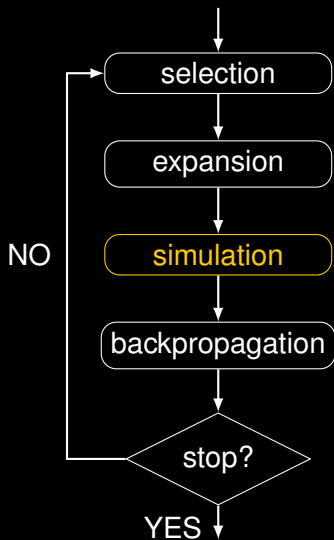
$\alpha$  ... action from  $P(S)$  to  $S$

## MCTS in AlphaZero



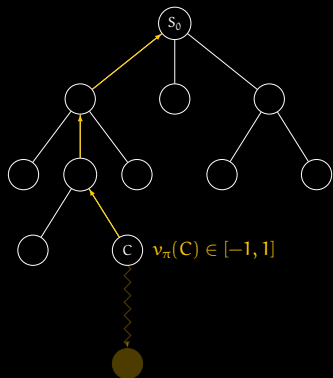
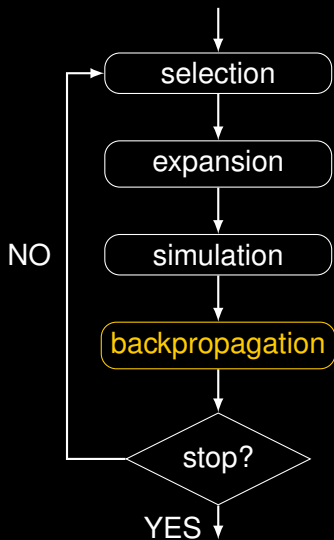
- if** not visited  $S_k$   
compute  $P_{\pi}(A | S_k) = p_{\pi}(S_k)$
- if** not visited  $S_k$  and  $k \neq 0$   
simulate  $S_k$
- else**  
expand  $S_k$   
simulate child  $C$  with action  
 $\alpha = \operatorname{argmax}_{\alpha'} P_{\pi}(\alpha' | S_k)$

## MCTS in AlphaZero



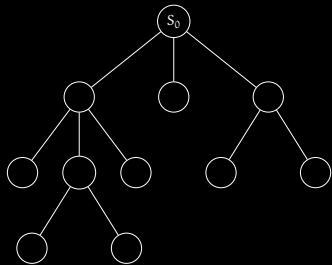
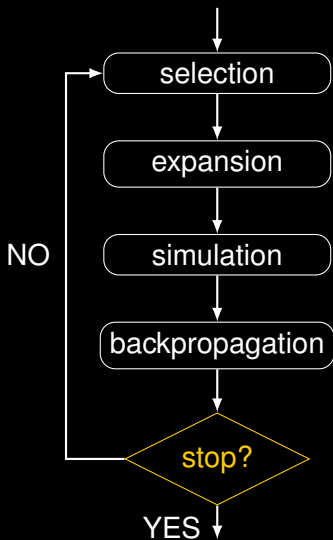
Estimate  $v \approx v_\pi(c)$  using the neural network.

## MCTS in AlphaZero



Update Q values for nodes on path from  $C$  to  $S_0$  using  $v_\pi(C)$ .

## MCTS in AlphaZero



Termination criteria:

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Return **relative frequencies**

## Training by self-play

- 
- 1: Initialize player with random parameters  $\pi$
  - 2: **for**  $e \leftarrow 1, \dots, E$  **do**
  - 3:     Generate data by self-play games
  - 4:     Update parameters  $\pi$
  - 5:     Compare new player to best player
  - 6: **return**  $\pi$
-

## Training by self-play

### 3: Generate data by self-play games

---

```
1:  $t \leftarrow 1$ 
2: for  $k \leftarrow 1, \dots, N$  do
3:    $S_t \leftarrow$  initial board
4:   while  $S_t$  is not an end state do
5:      $p_t \leftarrow P_\pi(A \mid S_t, \text{MCTS})$ 
6:     sample move  $a_t$  from  $p_t$ 
7:     save data  $(S_t, p_t, z_t)$ , where  $z_t$  is the game result
8:      $S_{t+1} \leftarrow$  new board after doing move  $a_t$ 
9:      $t \leftarrow t + 1$ 
10: return  $\{(S_t, p_t, z_t) \mid 1 \leq t \leq T\}$ 
```

---



## Training by self-play

### 4: Update parameters $\pi$

---

---

1: Given data  $\{(S_t, p_t, z_t) \mid 1 \leq t \leq T\}$ , use gradient descent to update parameters  $\pi$  to minimize

$$L = \sum_{t=1}^T \left( (z_t - v_{\pi}(S_t))^2 - p_t^T \log p_{\pi}(S_t) \right) + c \|\pi\|^2,$$

with hyperparameter  $c$ .

---

## Training by self-play

5: Compare new player to best player

- 
- 
- 1:  $\pi' \leftarrow$  parameters of the best previous player
  - 2: let  $\pi$  play  $M$  games against  $\pi'$
  - 3: **if**  $\pi$  wins  $\geq 55\%$  of these game **then**
  - 4:     mark  $\pi$  as the best player
  - 5: **else**
  - 6:      $\pi \leftarrow \pi'$
  - 7: **return**  $\pi$
-

# ALPHAZERO FOR QBF SOLVING



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QBF = Quantified Boolean Formula

- Extension of propositional logic over boolean variables with  $\exists, \forall$
- Canonical **PSPACE-complete** problem
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$$\exists v, w \forall x, y \exists z. (x \vee z) \wedge (v \vee \bar{y} \vee \bar{z}) \wedge \bar{w}$$

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closed QBF in prenex CNF

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clause

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 $Q. \varphi[x = \top]$  **and**  $Q. \varphi[x = \perp]$  are true.

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Task: Given QBF  $Q.\varphi$ , determine whether  $Q.\varphi$  is true or false.

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```
while  $\varphi \notin \{\top, \perp\}$   
  if  $Q = \exists x Q'$   
    Existential player chooses assignment  $T \in \{\top, \perp\}$  for  $x$ .  
  else  
    Universal player chooses assignment  $T \in \{\top, \perp\}$  for  $x$ .  
     $Q \leftarrow Q', \quad \varphi \leftarrow \varphi[x = T]$   
if  $\varphi = \top$   
  return Existential player wins  
else  
  return Universal player wins
```



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```

- $Q.\varphi$  is true iff existential player has winning strategy
- $Q.\varphi$  is false iff universal player has winning strategy

## QBF solving as a game

$$\forall x \exists y. (x \vee \bar{y}) \wedge (\bar{x} \vee y)$$

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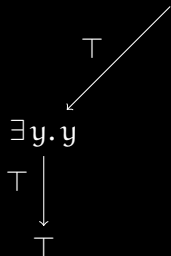
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T

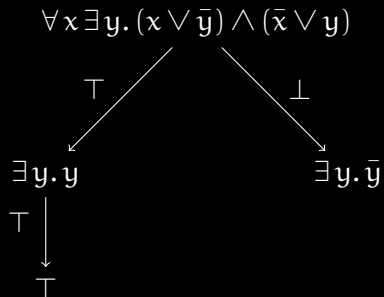
$$\exists y. y$$

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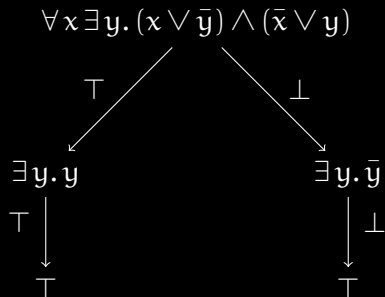
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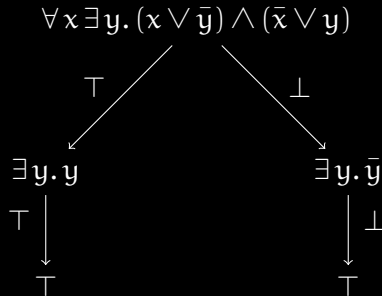
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## QBF solving as a game



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Given two perfect players, one game suffices to solve a QBF.

## Solving QBFs with AlphaZero

Idea:

1. Use AlphaZero to learn two (perfect) players  $\pi_{\exists}$ ,  $\pi_{\forall}$ .
2. Given  $\mathcal{Q}.\varphi$ , let  $\pi_{\exists}$  play against  $\pi_{\forall}$ .
3. Predict  $\mathcal{Q}.\varphi$  to be true if  $\pi_{\exists}$  wins and to be false if  $\pi_{\forall}$  wins.



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### Problems:

- How to represent QBFs?
- QBF solving is asymmetric

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Answer: as a graph

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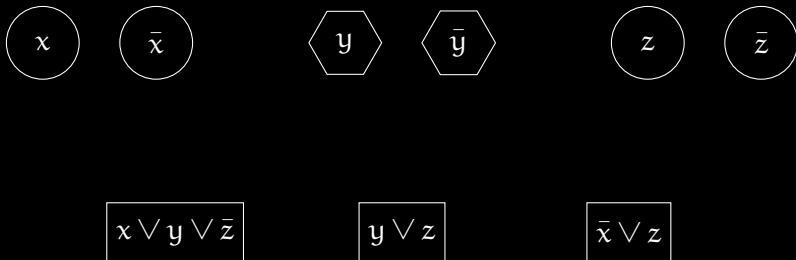
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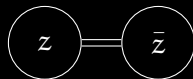
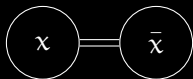
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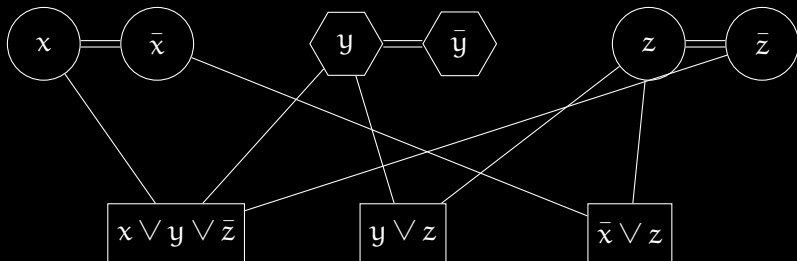
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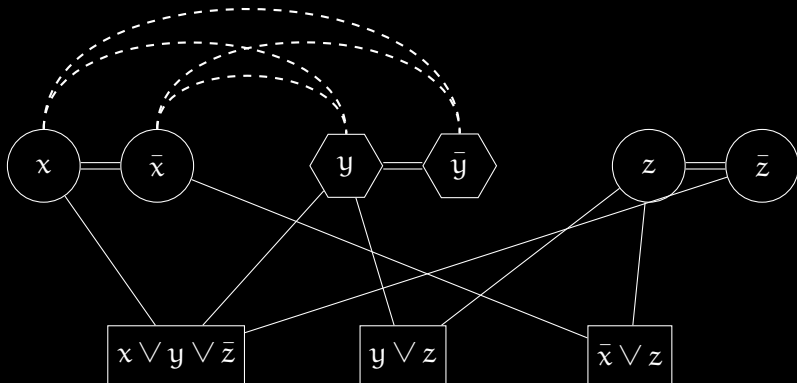
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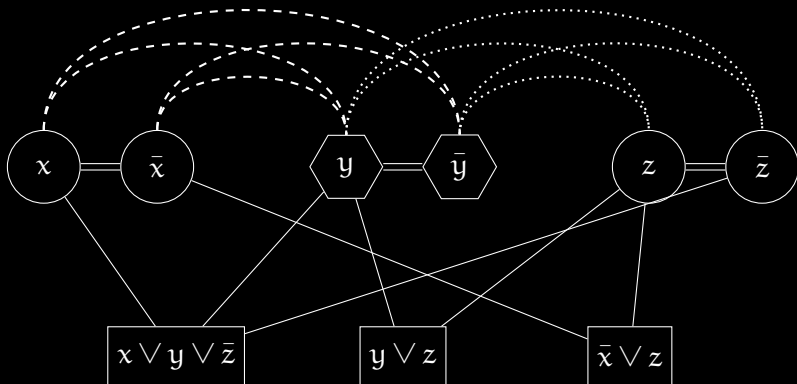




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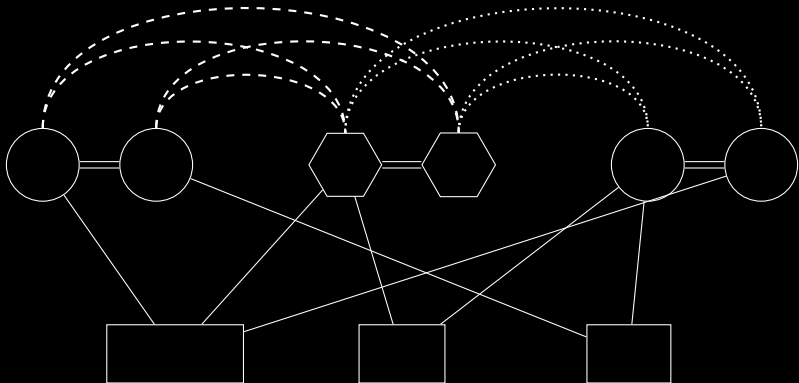
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Process QBF graphs with a **gated graph neural network**.

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- 1: Initialize  $h_v^{(0)}$  for each vertex  $v$  with a vector in  $\mathbb{R}^N$
  - 2: **for**  $t \leftarrow 0, \dots, T - 1$  **do**
  - 3:      $m_v^{(t+1)} \leftarrow \sum_{w \in N(v)} A_{e_{vw}} h_w^{(t)}$  for each vertex  $v$
  - 4:      $h_v^{(t+1)} \leftarrow \text{GRU}(h_v^{(t)}, m_v^{(t+1)})$  for each vertex  $v$
  - 5:  $p_\pi \leftarrow \sum_{v \in V} f_1(h_v^{(T)}, h_v^{(0)})$ ,     $v_\pi \leftarrow \sum_{v \in V} f_2(h_v^{(T)}, h_v^{(0)})$ ,  
with neural networks  $f_1, f_2$
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### Solution:

- Extend AlphaZero to train two networks with different goals simultaneously
- Take more care during the MCTS

## Training by 2-player-self-play

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- 1: Initialize players with random parameters  $\pi_{\exists}, \pi_{\forall}$
  - 2: **for**  $e \leftarrow 1, \dots, E$  **do**
  - 3:     Generate data by self-play games
  - 4:     Update parameters  $\pi_{\exists}$
  - 5:     Compare new player to best existential player
  - 6:     Generate data by self-play games
  - 7:     Update parameters  $\pi_{\forall}$
  - 8:     Compare new player to best universal player
  - 9: **return**  $\pi_{\exists}, \pi_{\forall}$
-

# EXPERIMENTAL RESULTS





# Training

Starting point

<https://github.com/suragnair/alpha-zero-general>

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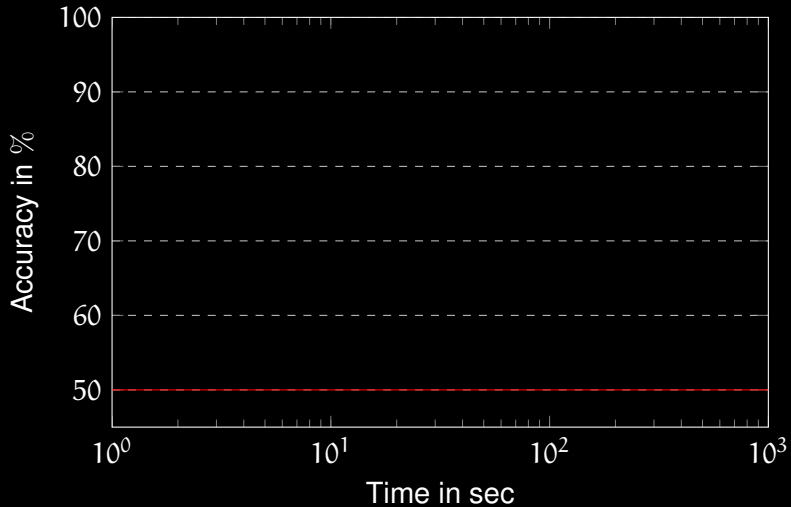
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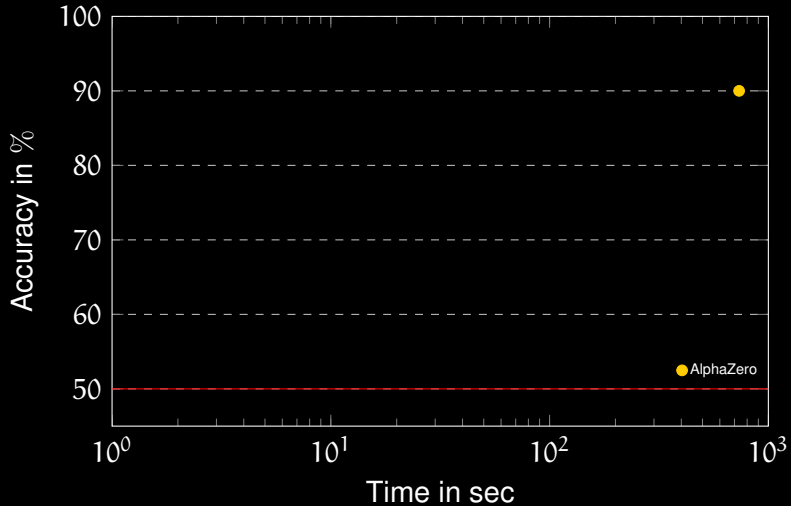
on a training set consisting of

- 100 random QBFs (50 true, 50 false) with
- 10 – 40 variables and
- 5 – 20 clauses

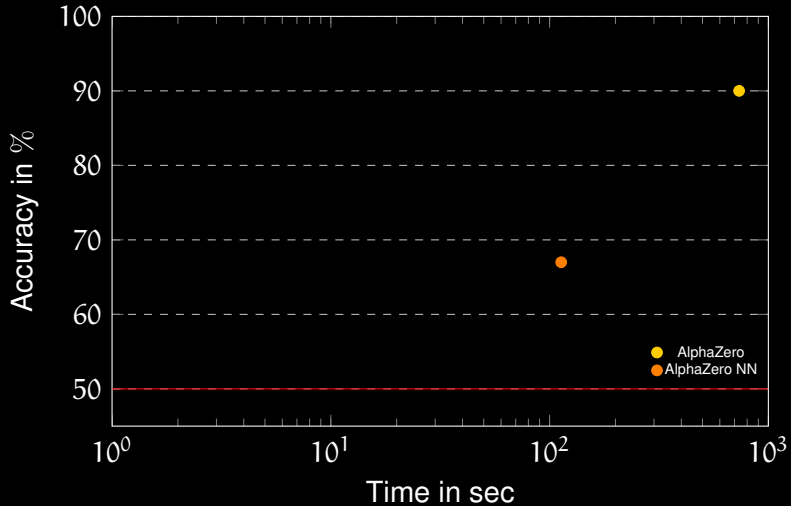
## Accuracy on random test data



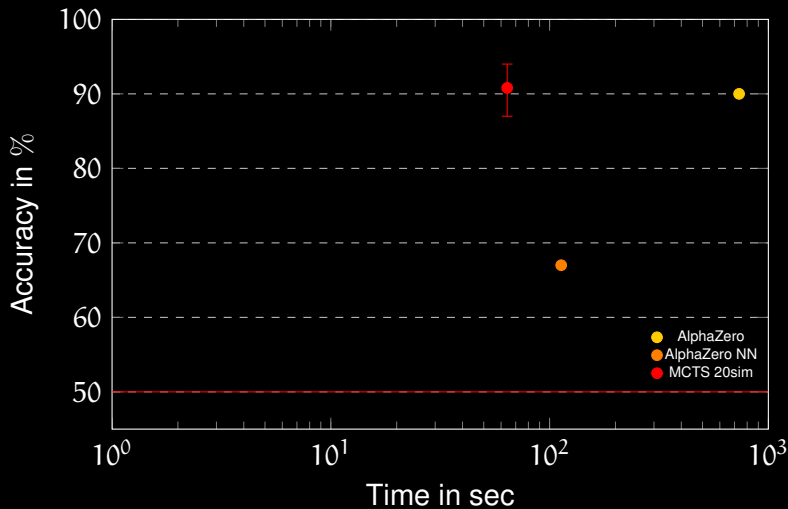
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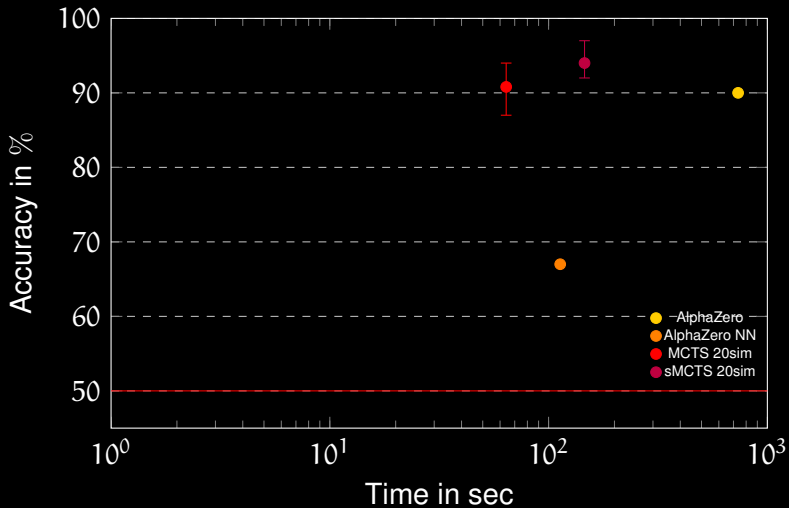
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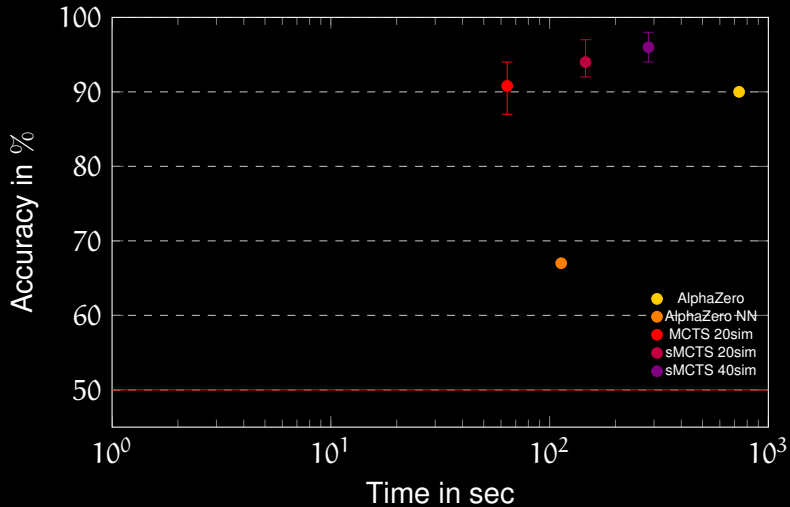


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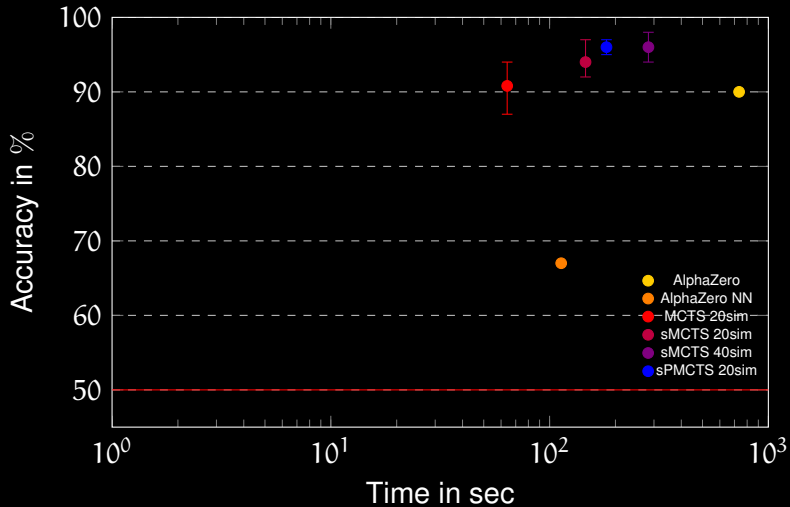




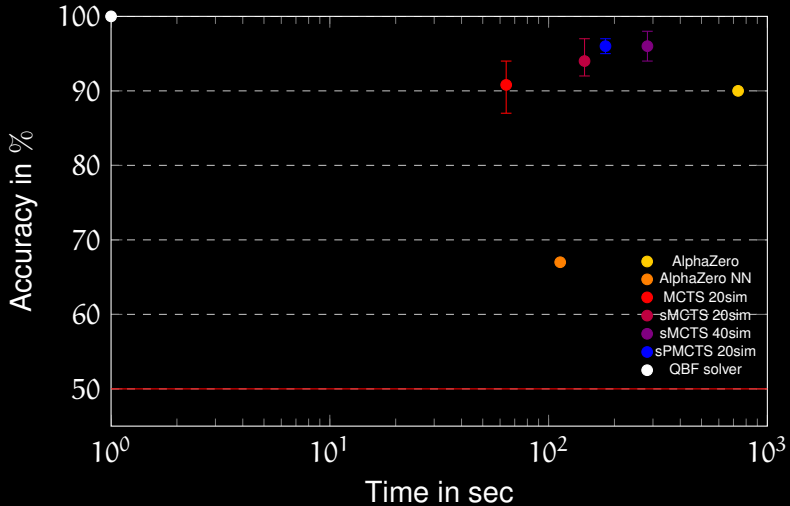
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- Existential player seems to be better than universal player
- Try to find good predictor heuristics
- Try to integrate MCTS into QBF solver (e.g. to find good partial assignments)