# COMPUTING NONCOMMUTATIVE GRÖBNER BASES AND CERTIFYING OPERATOR IDENTITIES



<u>Clemens Hofstadler</u>, Clemens G. Raab, and Georg Regensburger Institute for Algebra, JKU Linz Algebraic rewriting seminar, 22 April 2021





Theorem (Werner, 1994)

Let  $A \in \mathbb{C}^{m \times n}$  and  $B \in \mathbb{C}^{n \times k}$  with inner inverses  $A^-$  and  $B^-$ . If  $A^-ABB^-$  is idempotent, then  $B^-A^-$  is an inner inverse of AB.

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statement about operators formal computation assumptions  $\Rightarrow$ claim



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• For  $F \subseteq R\langle X \rangle$  we denote

$$(F) = \{ \sum a_i f_i b_i \mid a_i, b_i \in R \langle X \rangle, f_i \in F \}$$

(Raab, Regensburger, Hossein Poor, 2021)

- Phrase all assumptions on the operators involved as well as the claimed property in terms of identities.
- Convert these identities into polynomials by uniformly replacing each operator by a unique noncommutative indeterminate in the differences of the left and right hand sides.
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- 4\*. Consider different settings where the assumptions still hold and immediately obtain analogous statements in those settings as well.

translate

solve

interpret

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Quiver representations in an R-linear category

Vertices and edges of Q are assigned objects and morphisms.

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#### Theorem (Raab, Regensburger, Hossein Poor, 2021

Let  $F \subseteq R\langle X \rangle$  and  $f \in (F)$ . Then, for every labelled quiver Q and every representation of Q in an R-linear category s.t.

- 1. f and all elements of F are compatible with Q, and
- 2. realizations of all elements of F are zero,

we have that the realization of f is zero.

# **Example revisited**

Assumptions:

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Then, "assumptions  $\Rightarrow$  claim" since

 $\mathbf{f} = \mathbf{f}_1(bb^-b - bb^-a^-abb^-b) + (a - abb^-a^-a)\mathbf{f}_2 + a\mathbf{f}_3b.$ 

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Successfully used to automatically (im)prove statements in the field of

• generalised inverses.

• homological algebra.

In the field of generalised inverses:

• Reverse order laws

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#### (Milošević, 2020)

**Theorem 2.2** Let  $a_i, b_i, c_i$  be elements of a ring  $\mathcal{R}$  with a unit such that  $a_i, b_i$  are regular and  $a_i a_i^- c_i b_i^- b_i = c_i$  for  $i = \overline{1,3}$ . Additionally, let  $s = a_2 l_{a_1,j} j = a_3 l_{a_1}, m = j l_s, t = r_{b_1} b_2, k = r_{b_1} b_3, n = r_t k, p = a_3 l_{a_2}, q = r_{b_2} b_3$  and  $s, j, m, t, k, n, r_m p, q l_n, r_{r_m p} r_m j, q l_k l_n \in \mathcal{R}^-$ . The following are equivalent:

- The system of equations (11) is consistent.
- (ii) The conditions

$$\begin{split} r_{s}(c_{2} - a_{2}a_{1}^{-}c_{1}b_{1}^{-}b_{2})l_{t} &= 0 \\ r_{r_{m}}p^{*}mj(r_{r_{m}}p^{*}mj)^{-}r_{r_{m}}p^{*}mel_{n}(ql_{n})^{-}ql_{n} &= r_{r_{m}}p^{*}mel_{n} \\ r_{m}jj^{-}el_{k}l_{n}(ql_{k}l_{n})^{-}ql_{k}l_{n} &= r_{m}el_{k}l_{n} \end{split}$$

are satisfied, where  $e = c_3 - js^-c_2t^-k - a_3a_2^-r_sc_2t^-k - js^-c_2l_tb_2^-b_3 - (a_3 - js^-a_2)a_1^-c_1b_1^-(b_3 - b_2t^-k)$ .

(iii) The conditions

$$\begin{split} r_s(c_2 - a_2a_1^-c_1b_1^-b_2)l_t &= 0\\ r_j(c_3 - a_3a_1^-c_1b_1^-b_3)l_k &= 0\\ r_m(c_3 - js^-c_2l_tb_2^-b_3 - (a_3 - js^-a_2)a_1^-c_1b_1^-b_3)l_kl_nl_{ql_kl_n} &= 0\\ r_{r_mp^Tm}jr_mjr_m(c_3 - a_3a_2^-r_sc_2t^-k - a_3a_1^-c_1b_1^-(b_3 - b_2t^-k))l_n &= 0\\ r_{rmp}r_m(c_3 - js^-c_2t^-k - a_3a_2^-r_sc_2t^-k - js^-c_2l_tb_2^-b_3 \\ - (a_3 - js^-a_2)a_1^-c_1b_1^-(b_3 - b_2t^-k))l_nl_{ql_m} &= 0. \end{split}$$

are satisfied.

In that case the general solution of (11) is given by (34), where

$$\begin{split} z_1 &= c_1 f + g^{-r} s_c z_t^{-t} t + a_1 l_{a_2} (r_m p)^{-r} r_m [e - j(r_{r_m p} r_m j)^{-r} r_m p^{-r} m) el_k l_n (q_k l_n)^{-q} |_{l_n} k^{-t} t \\ &\quad + a_1 a_1^{-1} l_g u_1 t t^{-} - a_1 l_{a_2} (r_m p)^{-r} m p(1 - l_{a_1} s^{-} a_2) a_1^{-} u_1 t^{-} k l_n k^{-} t, \\ z_2 &= (c_1 b_1^{-} (b_2 - b_2 t^{-} k)) + g^{-r} s_c z_t^{-} t^{-} k) l_n + a_1 a_2^{-} c_3 n^{-} n + a_1 (1 - a_3^{-} r_m r_j a_3) a_1^{-} u_2 n^{-} n \\ a_1 l_{a_2} (r_m p)^{-} r_m [e - j(r_m p_m r_m)^{-} r_m p^{-} m e^{-} j l_{r_m p^{-} r_m p^{-} s^{-} u_3 J_1^{-} (1 - b_2 t^{-} r_b) r_q l_k l_n q \\ &\quad - (1 - j(r_m p^{-} r_m) p^{-} r_m p^{-} m) el_k l_n (q_l l_n)^{-} q] k^{-} k l_n + a_1 a_1^{-} l_g u_1 t^{-} k l_n \\ &\quad - a_1 l_{a_2} (r_m p)^{-} r_m p^{-} (1 - l_a_1 s^{-} a_2) a_1^{-} u_1 t^{-} k l_n, \\ z_3 &= cc_1 + s^{-} c_2 l_t f^{-} + s(r_{r_m p^{-} r_m j)^{-} r_{r_m p^{-} r_m p^{-} r_m el_k l_n (q_k l_n)^{-} r_{b_2} b_1 \\ &\quad + s(l_{r_m p^{-} r_m j)^{-} r_{r_m p^{-} r_m j^{-} r_m p^{-} r_m p^{-} r_m p^{-} l_n l_k l_n q_k l_n l_n)^{-} r_{b_2} b_1 \\ &\quad + s s^{-} u_3 r_p l_1^{-} b_1 - s_j^{-} r_m j l_{r_m p^{-} r_m j^{-} r_m p^{-} r_m p^{-} r_m p^{-} l_n l_k l_n (q_k l_n)^{-} r_{b_2} b_1 \\ &\quad - s(r_m p^{-} r_m j)^{-} r_{r_m p^{-} r_m j^{-} s^{-} u_3 b_1^{-} (1 - b_2 t^{-} r_b) q_k l_n (q_k l_n)^{-} r_{b_2} b_1 \\ &\quad + s (l_{r_m p^{-} r_m j^{-} a_3) a_1^{-} u_2 n^{-} n + s(r_{r_m p^{-} r_m j)^{-} r_m p^{-} r_m p^{-} r_m p^{-} r_m p^{-} r_m j^{-} r_m j^{-} a_3) a_1^{-} u_2 n^{-} n \\ &\quad + s (l_{r_m p^{-} r_m j^{-} r_m j^{-} u_n^{-} a_n r_m + s(r_{r_m p^{-} r_m j^{-} r_m r_m r_m l_n^{-} (a_n)^{-} r_{r_m p^{-} r_m j^{-} r_m r_m n^{-} a_n r_m a_3) a_1^{-} u_2 n^{-} n \\ &\quad + s (l_{r_m p^{-} r_m j^{-} r_m q^{-} + s(r_{r_m p^{-} r_m j^{-} r_m r_m r_m l_n^{-} (a_n)^{-} r_{r_m p^{-} r_m p^{-} r_m a_3) a_1^{-} u_2 n^{-} n \\ &\quad + s (l_{r_m p^{-} r_m j^{-} r_m r_m r_m l_n^{-} (a_n)^{-} r_{r_m p^{-} r_m p^{-}$$

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- Solvability of systems of equations

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Any further ideas for possible applications?

# NONCOMMUTATIVE GRÖBNER BASES



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#### Definition

Let  $f, f', g \in K\langle X \rangle$  with  $g \neq 0$ . We say that f reduces to f' by g if there exist  $a, b \in \langle X \rangle$  such that  $a \ln(g)b \in \operatorname{supp}(f)$  and

$$f' = f - rac{\operatorname{coeff}(f, a \operatorname{lm}(g)b)}{\operatorname{lc}(g)} \cdot agb.$$

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Adapt to sets  $G \subseteq K\langle X \rangle$  by

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We denote by  $\stackrel{*}{\rightarrow}_{G}$  the reflexive, transitive closure of  $\rightarrow_{G}$ .

## **Gröbner bases**

#### Definition

Let  $I \subseteq K\langle X \rangle$  be an ideal and  $G \subseteq I$  such that (G) = I. Then, G is called a Gröbner basis of I if and only if  $\rightarrow_G$  is confluent.

## **Gröbner bases**

#### Definition

Let  $I \subseteq K\langle X \rangle$  be an ideal and  $G \subseteq I$  such that (G) = I. Then, G is called a Gröbner basis of I if and only if  $\rightarrow_G$  is confluent.

G is a Gröbner basis of I iff

- every  $f \in K\langle X \rangle$  has a unique normal form under  $\rightarrow_G$ .
- $f \in I \iff f \stackrel{*}{\to}_{G} 0.$

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- $\bullet \ f\in I \ \iff \ f\overset{*}{\to}_G 0.$

### Caution

Not all ideals in  $K\langle X \rangle$  have a finite Gröbner basis!

## **Confluence check**

If we want to check whether  $G \subseteq K\langle X \rangle$  is a Gröbner basis, we have to consider these branching points for all f,  $g \in G$ :



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# **Ambiguities**

#### Definition

Let  $f, g \in G \subseteq K\langle X \rangle$  be such that  $f, g \neq 0$ .

If lm(f) = AB and lm(g) = BC for some  $A, B, C \in \langle X \rangle \setminus \{1\}$ . Then, we call (ABC, A, C, f, g) an overlap ambiguity of G.

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If Im(f) = ABC and Im(g) = B for some  $A, B, C \in \langle X \rangle$ . Then, we call (ABC, A, C, f, g) an inclusion ambiguity of G. Its critical pair is (ABC - f', ABC - g') with  $ABC \rightarrow_{1,f,1} f'$  and  $ABC \rightarrow_{A,g,C} g'$ .

## **Diamond Lemma**

#### Definition

Let  $G \subseteq K\langle X \rangle$  and let a = (ABC, A, C, f, g) be an ambiguity of  $f, g \in G \setminus \{0\}$ . Furthermore, let (ABC - f', ABC - g') be the critical pair of a. Then, the S-polynomial of a is given by

$$\operatorname{spol}(\mathfrak{a}) = (ABC - \mathfrak{g}') - (ABC - \mathfrak{f}') = \mathfrak{f}' - \mathfrak{g}'.$$

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$$\operatorname{spol}(\mathfrak{a}) = (ABC - g') - (ABC - f') = f' - g'.$$

#### Diamond Lemma (Bergman, 1978)

Let  $G \subseteq K\langle X \rangle$ . Then, G is a Gröbner basis of (G) if and only if  $\operatorname{spol}(\mathfrak{a}) \to_G^* \mathfrak{0}$  for all ambiguities  $\mathfrak{a}$  of G.

## **Buchberger algorithm**

**Input:** a finite set  $F \subseteq K\langle X \rangle$ 

**Output if the algorithm terminates:**  $G \subseteq K\langle X \rangle$  such that G is

- a Gröbner basis of (F)
- $1: \ G \leftarrow F$
- 2:  $amb \leftarrow all ambiguities of G$
- 3: while  $amb \neq \emptyset$  do
- 4: while  $amb \neq \emptyset$  do
- 5: select  $a \in amb$
- 6:  $amb \leftarrow amb \setminus \{a\}$
- 7: compute a normal form f' of spol(a) w.r.t. to  $\rightarrow_G$
- 8: if  $f' \neq 0$  then

```
9: G \leftarrow G \cup \{f'\}
```

10:  $amb \leftarrow all new ambiguities of G$ 

```
11: return G
```

# (NON)COMMUTATIVE F4



## Faugère's F4 algorithm

• First published in 1999 by Jean-Charles Faugère in

A new efficient algorithm for computing Gröbner bases (*F4*). Journal of Pure and Applied Algebra 139, 61-88, 1999.

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- Main idea: use linear algebra for polynomial reduction
- Noncommutative F4 first published by Xingqiang Xiu in Non-Commutative Gröbner Bases and Applications. PhD thesis, University of Passau, 2012.

### **Polynomials** $\longleftrightarrow$ **matrices**

Let  $F = \{f_1, f_2, f_3\} \subseteq \mathbb{Q}\langle x, y, z \rangle$ , with  $f_1 = xxyz + 2xyy + x, \qquad f_2 = xyy - yz, \qquad f_3 = yz - 2x.$
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$$\begin{array}{cccc} xxyz & xyy & yz & x \\ \begin{pmatrix} 1 & 2 & 0 & 1 \\ 0 & 1 & -1 & 0 \\ & & & & \end{pmatrix} \begin{array}{c} f_1 \\ f_2 \\ f_3 \end{array}$$

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**Input:** a finite set  $F \subset K\langle X \rangle$  and  $G \subset K\langle X \rangle$ **Output:**  $G' \subseteq \{agb \mid a, b \in \langle X \rangle, g \in G\}$ 1:  $G' \leftarrow \emptyset$ <u>2: T  $\leftarrow$  supp(F) \ lm(F)</u> 3: done  $\leftarrow lm(F)$ 4: while  $T \neq \emptyset$  do select  $t \in T$ 5: 6:  $\mathsf{T} \leftarrow \mathsf{T} \setminus \{\mathsf{t}\}$ done  $\leftarrow$  done  $\cup \{t\}$ 7: if there exist  $q \in G$ ,  $a, b \in \langle X \rangle$  s.t.  $t = a \ln(q)b$  then 8:  $G' \leftarrow G' \cup \{aqb\}$ 9:  $T \leftarrow T \cup (supp(agb) \setminus done)$ 10:

11: return G'

**Input:** a finite set  $F \subset K[X]$  and  $G \subset K[X]$ **Output:**  $G' \subseteq \{tg \mid t \in [X], g \in G\}$ 1:  $G' \leftarrow \emptyset$ 2:  $T \leftarrow supp(F) \setminus lm(F)$ 3: done  $\leftarrow lm(F)$ 4: while  $T \neq \emptyset$  do select  $t \in T$ 5: 6:  $\mathsf{T} \leftarrow \mathsf{T} \setminus \{\mathsf{t}\}$ done  $\leftarrow$  done  $\cup \{t\}$ 7: if there exist  $q \in G$ ,  $t' \in [X]$  s.t.  $t = t' \ln(q)$  then 8:  $G' \leftarrow G' \cup \{t'q\}$ 9:  $T \leftarrow T \cup (\operatorname{supp}(t'q) \setminus \operatorname{done})$ 10:

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Let  $F = \{f_1, f_2, f_3, f_4\} \subseteq \mathbb{Q}\langle x, y \rangle$ , with  $f_1 = yxyx, \qquad f_2 = yxyx + yxx,$  $f_3 = yxy, \qquad f_4 = yxy + xy.$ 

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# Main result

#### Theorem

Let  $G \subseteq K\langle X \rangle$  and let C be a finite subset of critical pairs of ambiguities of G. Furthermore, let  $F = \bigcup_{(\tilde{f}, \tilde{g}) \in C} \{\tilde{f}, \tilde{g}\}$  be the set of all polynomials appearing in the critical pairs of C and let  $\tilde{F} = \text{Reduction}(F, G)$ . Then,

$$\operatorname{spol}(\mathfrak{a}) \xrightarrow{*}_{\mathsf{G}\cup \tilde{\mathsf{F}}} \mathfrak{0},$$

for all ambiguities a of G such that the critical pair of a is in C.

# F4 algorithm

**Input:** a finite set  $\{f_1, \ldots, f_m\} \subseteq K\langle X \rangle$ 

**Output if algorithm terminates:**  $G \subseteq K\langle X \rangle$  GB of  $(f_1, \dots, f_m)$ 

1: 
$$G \leftarrow \{f_1, \ldots, f_m\} \setminus \{0\}$$

- 2: critPairs  $\leftarrow$  critical pairs of all ambiguities of G
- 3: while critPairs  $\neq \emptyset$  do
- 4: while critPairs  $\neq \emptyset$  do
- 5: select  $C \subseteq critPairs$
- 6:  $critPairs \leftarrow critPairs \setminus C$
- 7:  $F \leftarrow \bigcup_{(\tilde{f},\tilde{g})\in C} \{\tilde{f},\tilde{g}\}$

8: 
$$\tilde{F} \leftarrow \text{Reduction}(F,G)$$

9: 
$$G \leftarrow G \cup \tilde{F}$$

10:  $\operatorname{critPairs} \leftarrow \operatorname{critical} \operatorname{pairs} \operatorname{of} \operatorname{all} \operatorname{new} \operatorname{ambiguities} \operatorname{of} \operatorname{G}$ 

11: return G

# F4 algorithm

**Input:** a finite set  $\{f_1, \ldots, f_m\} \subseteq K[X]$ **Output:**  $\overline{G \subseteq K[X]}$  GB of  $(f_1, \ldots, f_m)$ 1:  $G \leftarrow \{f_1, \ldots, f_m\} \setminus \{0\}$ 2: critPairs  $\leftarrow$  all critical pairs of G 3: while critPairs  $\neq \emptyset$  do while critPairs  $\neq \emptyset$  do 4: 5: select  $C \subseteq critPairs$ critPairs  $\leftarrow$  critPairs  $\setminus$  C 6:  $F \leftarrow \bigcup_{(\tilde{f},\tilde{g})\in C} \{\tilde{f},\tilde{g}\}$ 7:  $\tilde{F} \leftarrow \text{Reduction}(F, G)$ 8:  $G \leftarrow G \cup \tilde{F}$ 9: critPairs  $\leftarrow$  all new critical pairs of G 10:

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Let

 $f_1=yx+x, \qquad f_2=y+1, \qquad f_3=yxy \qquad \in \mathbb{Q}\langle x,y\rangle.$ 

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$$\begin{aligned} a_{12} &= (yx, 1, x, f_1, f_2), \\ a'_{31} &= (yxyx, yx, x, f_3, f_1), \\ a'_{32} &= (yxy, 1, xy, f_3, f_2), \end{aligned}$$

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$$\begin{aligned} a_{12} &= (yx, 1, x, f_1, f_2), & a_{31} &= (yxy, 1, y, f_3, f_1), \\ a'_{31} &= (yxyx, yx, x, f_3, f_1), & a_{32} &= (yxy, yx, 1, f_3, f_2), \\ a'_{32} &= (yxy, 1, xy, f_3, f_2), & a_{33} &= (yxyxy, yx, xy, f_3, f_3) \end{aligned}$$

Let

$$\begin{array}{ll} f_1=yx+x, \qquad f_2=y+1, \qquad f_3=yxy \qquad \in \mathbb{Q}\langle x,y\rangle.\\\\ G=\{f_1,f_2,f_3\}\end{array}$$

$$\begin{aligned} a_{12} &= (yx, 1, x, f_1, f_2), & a_{31} &= (yxy, 1, y, f_3, f_1), \\ a_{31}' &= (yxyx, yx, x, f_3, f_1), & a_{32} &= (yxy, yx, 1, f_3, f_2), \\ a_{32}' &= (yxy, 1, xy, f_3, f_2), & a_{33} &= (yxyxy, yx, xy, f_3, f_3) \end{aligned}$$

 $F = \{yxyx, yxyx + yxx, yxy, yxy + xy\}$ 

Let

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 $F = \{yxyx, yxyx + yxx, yxy, yxy + xy\}$ 

 $\overset{SymPre}{\Longrightarrow} F' = F \cup \{f_1x, xf_2\}$ 













$$\label{eq:f1} \begin{array}{ll} f_1=yx+x, & f_2=y+1, & f_3=yxy\\ G=\{f_1,f_2,f_3,xx,x\} \end{array}$$

$$\label{eq:f1} \begin{array}{ll} f_1=yx+x, & f_2=y+1, & f_3=yxy\\ \\ G=\{f_1,f_2,f_3,xx,x\} \end{array}$$

$$a_{14} = (yxx, y, x, f_1, xx),$$
  
 $a_{35} = (yxy, y, y, f_3, x),$   
 $a_{45} = (xx, x, 1, xx, x),$ 

$$\begin{split} a_{15} &= (yx, y, 1, f_1, x), \\ a_{44} &= (xxx, x, x, xx, xx), \\ a_{45}' &= (xx, 1, x, x, xx). \end{split}$$

$$\label{eq:f1} \begin{array}{ll} f_1=yx+x, & f_2=y+1, & f_3=yxy\\ \\ G=\{f_1,f_2,f_3,xx,x\} \end{array}$$

 $\begin{aligned} a_{14} &= (yxx, y, x, f_1, xx), \\ a_{35} &= (yxy, y, y, f_3, x), \\ a_{45} &= (xx, x, 1, xx, x), \end{aligned}$ 

 $\begin{aligned} a_{15} &= (yx, y, 1, f_1, x), \\ a_{44} &= (xxx, x, x, xx, xx), \\ a'_{45} &= (xx, 1, x, x, xx). \end{aligned}$ 

$$\label{eq:f1} \begin{array}{ll} f_1=yx+x, & f_2=y+1, & f_3=yxy\\ \\ G=\{f_1,f_2,f_3,xx,x\} \end{array}$$

 $\begin{aligned} a_{14} &= (yxx, y, x, f_1, xx), & a_{15} &= (yx, y, 1, f_1, x), \\ a_{35} &= (yxy, y, y, f_3, x), & a_{44} &= (xxx, x, x, xx, xx), \\ a_{45} &= (xx, x, 1, xx, x), & a'_{45} &= (xx, 1, x, x, xx). \end{aligned}$ 

 $F = \{yxx + xx, yxx, yx + x, yx\}$ 

$$\label{eq:f1} \begin{array}{ll} f_1=yx+x, & f_2=y+1, & f_3=yxy\\ \\ G=\{f_1,f_2,f_3,xx,x\} \end{array}$$

 $\begin{aligned} a_{14} &= (yxx, y, x, f_1, xx), & a_{15} &= (yx, y, 1, f_1, x), \\ a_{35} &= (yxy, y, y, f_3, x), & a_{44} &= (xxx, x, x, xx, xx), \\ a_{45} &= (xx, x, 1, xx, x), & a'_{45} &= (xx, 1, x, x, xx). \end{aligned}$ 

$$\mathsf{F} = \{\mathsf{y}\mathsf{x}\mathsf{x} + \mathsf{x}\mathsf{x}, \mathsf{y}\mathsf{x}\mathsf{x}, \mathsf{y}\mathsf{x} + \mathsf{x}, \mathsf{y}\mathsf{x}\}$$

$$\overset{\text{SymPre}}{\Longrightarrow} \mathsf{F}' = \mathsf{F} \cup \{xx, x\}$$



 $=\dot{\mathcal{M}}_{\mathrm{F}'}$


 $=\dot{\mathcal{M}}_{\mathrm{F}'}$ 



 $= \mathcal{M}_{F'}$ 



 $=\dot{\mathcal{M}}_{\mathrm{F}'}$ 





 $G = \{f_1, f_2, f_3, xx, x\} \text{ is a GB of } (f_1, f_2, f_3)$ 

#### **References I**

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